

Introduction to Financial Crime
Undergraduate
Course #: 47:202:466 Section 62

Instructor: Glenn R. Dinetz, MBA, CFE, LPI
Class time: 6PM to 9PM
Location: SCJ Room 574
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Office Hours: by appointment
Textbooks: Required reading t/b/a

Course Overview

As technology advances, financial crime has burgeoned world-wide. The estimated amount of money laundered globally in one year is 2 - 5% of global GDP, or **\$800 billion - \$2 trillion** in current US dollars. And that is but one facet in the compendium of financial crimes. Until recently, law enforcement has not prioritized financial crimes due to lack of training and slow recognition of the relationship to more heinous crimes such as human trafficking. On the civilian side, the financial services industry, significantly regulated in the US as well as other countries, must adhere to strict compliance rules. The healthcare industry has issues of a similar nature. In today's market, many financial crime investigator and compliance opportunities go unfilled due to lack of qualified practitioners. This course is intended to provide undergraduate criminal justice majors an insight into both the market and compendium of financial crimes to further their respective career aspirations. Additionally, there will be guest speakers from law enforcement, regulatory agencies and public companies as well

Learning Objectives

At the completion of this course, students are expected to:

- Understand the societal ramifications of economic crimes
- Understand the motives and theory behind economic crime
- Have an preliminary understanding of financial crime investigative process
- Understand the Financial Services and Healthcare regulatory environment and opportunities for employment

Recommended Prerequisites

- Students must be proficient in Microsoft or compatible *Word, Excel* and *PowerPoint* applications.
 - Highly recommended that students be familiar with Excel data functions (i.e. Pivot Tables)
- Students must have a computer. Smartphone and tablets will not be sufficient for class assignments

This course presents a survey of financial crime issues focusing on the areas of financial crime that will present the most opportunity for SCJ graduates. The course is applicable to the following groups of students:

- Law Enforcement Agency candidates at all levels
- Criminal Justice majors contemplating careers in financial services and / or healthcare compliance
- Criminal Justice majors contemplating careers in global supply chain security matters
- Business School students
- Pre-law students

Preparation: Students will be required to complete assignments as directed by the instructor on a scheduled basis. Students may also be required to purchase required reading materials as directed by the instructor.

Attendance: Students are expected to attend all regularly scheduled meetings of this course in keeping with university policy (Section 10.2.7: Course Attendance). **Attendance will be mandatory for classes featuring guest lecturers.** Students who arrive late by 30 minutes or more will be considered absent. It is the student’s responsibility to catch up on lecture, readings and assignments if classes are missed.

Assignments: There may be biweekly homework assignments (unless otherwise specified). These assignments will commonly require some or all the following:

- PowerPoint presentations on an assigned topic
- Written Word document response to investigative case problems
- Collation and / or presentation of data in Excel

Exams: There will be two in-class exams consisting of multiple- choice questions and essays.

There will also be spot quizzes as the discretion of the instructor throughout the course.

Grading

A student’s final grade for this course will be based on:

Class Discussion Participation	20%
Exam 1	25%
Exam 2	35%
Cumulative Quizzes	20%

Letter grades will be assigned as follows:

A	90–100
B+	85-89
B	80-84
C+	75-79
C	70-74
D	60-69
F	0-59

Tentative Course Schedule

Week	Topics	
1	Introduction to Course <ul style="list-style-type: none"> - Course objectives and topics - Student introductions - Financial Crime Overview 	
2	Overview of Financial Crime Enforcement Agencies Introduction to relevant professional organizations focused on financial crime Corporate and Regulatory Regimes	
3	Introduction to fraud / financial crime schemes I	
4	Introduction to fraud / financial crime schemes II	
5	ACFE Guest Lecturer	
6	Survey of Cyber Crimes and prevention	
7	Mid-Term Exam	
8	Investigation <ul style="list-style-type: none"> • Overview of pertinent laws and rights of individuals • Evidence collection • Testimony 	
9	Financial Crime Panel <ul style="list-style-type: none"> • Guest speakers from Law Enforcement and Private Industry 	
10	Reporting <ul style="list-style-type: none"> • Class exercise: Production of Investigative Report 	
11	Policing Financial Crime	
12	Ethics	
13	Responding to cross-border financial crimes	
14	Final Exam	

What You Will Need

For this class you will need to bring a laptop computer or a tablet with full typing and WiFi abilities. You will not be able to effectively take this course using an iPhone or Android device. In class open-source research and document production is required.

My Rules:

1. You expected to be on time. I realize that many of you work and that the traffic and parking situation in Newark can be tough. Please make every attempt to be on time.
2. You expected to attend class. This class is more about collaboration, open discussion, and exchange of ideas than 'book learning.'
3. If you're texting in class, you're not engaged. You're adults now and for those of you going on to law enforcement and or professional endeavors, you need to pay attention.
4. Show up! I expect you to attend every class with the exception of sickness or extenuating personal circumstances. Please let me know if you will not be attending class.
5. Classes where we will be having guest speakers require your attendance. There will be point off your final exam if you miss one of these classes. (Again, with the exception of sickness or extenuating circumstances)
6. You must use CANVAS

General Information (School and University)

Academic Integrity

Cheating and plagiarism will not be tolerated. You are responsible for adhering to basic academic standards of honesty and integrity as outlined in the Rutgers University Interim Academic Integrity Policy. Students who violate academic integrity will be handled in keeping with the University Policy. (For more information visit, <http://academicintegrity.rutgers.edu/integrity.shtml>).

Students with Disabilities

As stated in the Manual for Students and Coordinators of Services for Students with Disabilities (<http://disabilityservices.rutgers.edu/docs/studentmanual.pdf>), Rutgers University is committed to providing equal educational opportunity for persons with disabilities in accordance with the Nondiscrimination Policy of the University and in compliance with § 504 of the Rehabilitation Act of 1973 and with Title II of the Americans with Disabilities Act of 1990. (For more information, visit <http://disabilityservices.rutgers.edu>).

Psychological and Counseling Services

The university's counseling center offers a wide variety of free and confidential clinical services to students at Rutgers. The center is designed (1) to encourage student's development of time management, assertiveness and effective problem-solving skills, (2) to assist in the resolution of personal issues that may otherwise detract from academic and career successes, (3) and to enhance the student's ability to develop healthy and satisfying interpersonal relationships.

(For more information, visit <http://www.counseling.newark.rutgers.edu>)

If you are interested in finding out more about:

- Accelerated Master's Program (B.S./M.A.)
- Criminal Justice National Honor Society (Alpha Phi Sigma)

please refer to the School of Criminal Justice website at: <http://www.newark.rutgers.edu/rscj>